

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter R. Baum et al.

Serial No.: 09/972,268

Group Art Unit No.: 1644

Filed:

October 5, 2001

Examiner: Maher M. Haddad

For:

NECTIN POLYPEPTIDES

Docket No.: 3101-A

## INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, applicant(s) submit(s) a "List of References Cited by Applicant" on a modified PTO-1449 form and provide(s) a copy of each of the listed references for consideration by the Examiner. This Information Disclosure Statement is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last, but **before** the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311, whichever occurs first.

Please charge Deposit Account No. 09-0089 in the amount of \$180.00 (37 CFR 1.97(c)). An original and one copy are enclosed.

Applicant(s) request(s) consideration of this information and passage of the application to issue.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 09-0089. An original and one copy are enclosed.

Respectfully submitted,

Susan E. Lingenfelter Attorney/Agent for Applicant(s)

Registration No.: 41,156 Phone: (206) 265-7340 Date: April 21, 2006

Please send all future correspondence to:

Immunex Corporation
Law Department
1201 Amgen Court West
Seattle, Washington 98119-3105
(206) 265-7000

| Modified Form PTO-1449   | Atty. Docket No. | 3101-A               | Serial No. | 09/972,268 |  |
|--|------------------|----------------------|------------|------------|--|
| LIST OF REFERENCES CITED BY APPLICAN (Use several sheets if necessary) | Applicant        | Peter R. Baum et al. |            |            |  |
| (Use several sheets if necessary)                                      | Filing Date      | October 5, 2001      | Group      | 1644       |  |

## **U.S. PATENT DOCUMENTS**

| EXAMINER'S                              |            |                  | DATE | NAME   | CLASS | SUB-  | FILING DATE IF |
|---|------------|------------------|------|--------|-------|-------|----------------|
| INITIALS                                |            | DOCUMENT NUMBER  | DAIL | 147JIE |       | CLASS | APPROPRIATE    |
|   | <b>A</b> 1 | Ro               |      |        |       |       |                |
|   | A2         | APR 2 1 2006 (L) |      |        |       |       |                |
|   | А3         | PRADEMARY        |      |        |       |       |                |
|   | A4         | RADEMAN          |      |        |       |       |                |
|   | <b>A</b> 5 |                  |      |        |       |       |                |
|   | A6         |                  |      |        |       |       |                |
| - · · · · · · · · · · · · · · · · · · · | A7         |                  |      |        |       |       |                |

## FOREIGN PATENT DOCUMENTS

| <u> </u> | DOCUMENT NUMBER | DATE | COUNTRY                               | CLASS | SUB-<br>CLASS | TRANSLATION |    |
|----------|-----------------|------|---------------------------------------|-------|---------------|-------------|----|
|          |                 |      |                                       |       |               | YES         | NO |
| B1       |                 |      |                                       |       |               |             |    |
| B2       |                 |      | <u></u>                               |       |               |             |    |
| В3       |                 |      | · · · · · · · · · · · · · · · · · · · |       |               |             |    |

OTHER DOCUMENTS (Including Publisher, Author, Title, Date, Pertinent Pages, Etc.)

|                                       | C1 | functional region in herpes simplex virus-1 entry into cells and interacts physically with the              |  |  |  |
|---------------------------------------|----|---|--|--|--|
|                                       |    | viral glycoprotein D," <i>Proc. Natl. Acad. Sci. USA</i> , 95:15700-15705; 1998.                            |  |  |  |
|                                       | C2 | EMBL Database accession no. AF195835, "Mus musculus cell adhesion molecule                                  |  |  |  |
|                                       |    | nectin-3 gamma mRNA, complete cds.," April 14, 2000.  |  |  |  |
|                                       | C3 | EMBL Database accession no. AF282874, "Homo sapiens nectin 3 mRNA, complete                                 |  |  |  |
|                                       | Ç3 | cds.," August 7, 2000.  |  |  |  |
|                                       |    | Lopez M et al., "Nectin2 $\alpha$ (PRR2 $\alpha$ or HveB) and Nectin2 $\delta$ Are Low-Efficiency Mediators |  |  |  |
|                                       | C4 | for Entry of Herpes Simplex Virus Mutants Carrying the Leu25Pro Substitution in                             |  |  |  |
|                                       |    | Glycoprotein D," J. Virol. 74:1267-1274; 2000.  |  |  |  |
| · · · · · · · · · · · · · · · · · · · |    | Lopez M et al., "Novel, Soluble Isoform of the Herpes Simplex Virus (HSV) Receptor                          |  |  |  |
|                                       | C5 | Nectin1 (or PRR1-HlgR-HveC) Modulates Positively and Negatively Susceptibility to HSV                       |  |  |  |
|                                       |    | Infection," <i>J. Virol.</i> 75:5684-5691, 2001.  |  |  |  |
|                                       |    | UniProt Database accession no. Q9JLB8, "Cell adhesion molecule nectin-3 beta,"                              |  |  |  |
|                                       | C6 | October 1, 2000.  |  |  |  |
|                                       |    |   |  |  |  |
|                                       | C7 | European Search Report, EP 01981410, mailed January 16, 2006.   |  |  |  |
| EXAMINER:                             |    | Date Considered:  |  |  |  |